

**SPECIFICATION**

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3 To all whom it may concern:

4 Be it known that James Agutter, a citizen of the United States of America,

5 Dwayne R. Westenskow, a citizen of the United States of America, Noah Syroid, a

6 citizen of the United States of America, Julio C. Bermudez, a citizen of Argentina, and

7 Yinqi Zhang, a citizen of the People's Republic of China, have invented a new and useful

8 invention entitled "METHOD AND APPARATUS FOR MONITORING DYNAMIC

9 CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL REPRESENTATIONS

10 OF CRITICAL FUNCTIONS" of which the following comprises a complete

11 specification.

12 This patent application is a continuation-in-part patent application of U.S. Patent

13 Application Serial Number 09/457,068, which was filed on December 7, 1999, and which

14 is presently pending before the United States Patent and Trademark Office. Priority is

15 hereby claimed to all material disclosed in this parent case.

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00669225-104000

1       **METHOD AND APPARATUS FOR MONITORING DYNAMIC**  
2       **CARDIOVASCULAR FUNCTION USING N-DIMENSIONAL**  
3       **REPRESENTATIONS OF CRITICAL FUNCTIONS**

4  
5                   **Background of the Invention**

6       **Field of the Invention.** This invention relates to the visualization, perception,  
7       representation and computation of data relating to the attributes or conditions constituting  
8       the health state of a dynamic system. More specifically, this invention relates to the  
9       display and computation of cardiovascular data, in which variables constituting attributes  
10      and conditions of a dynamic physiological system can be interrelated and visually  
11      correlated in time as three-dimensional objects.

12     **Description of the Related Art.** A variety of methods and systems for the visualization  
13     of data have been proposed. Traditionally, these methods and systems fail to present in a  
14     real-time multi-dimensional format that is directed to facilitating a user's analysis of  
15     multiple variables and the relationships between such multiple variables. Moreover, such  
16     prior methods and systems tend not to be specifically directed to display of a patient's  
17     cardiovascular system by showing such cardiovascular variables as blood pressure, blood  
18     flow, vascular tone and the like. Prior methods typically do not process and display data  
19     in real-time, rather they use databases or spatial organizations of historical data.  
20     Generally, they also simply plot existing information in two or three dimensions, but  
21     without using three-dimensional geometric objects to show the interrelations between  
22     data. Often previous systems and methods are limited to pie charts, lines or bars to  
23     represent the data. Also, many previous systems are limited to particular applications or



1 desirable that such a system and method include a graphic element that depicts the status  
2 of a patient's cardiovascular system by graphically showing blood pressure, blood flow,  
3 vascular tone and other cardiovascular variables. It is important that such a graphic  
4 element provide an anesthesiologist with the means to quickly assess the patient's status.  
5 It is also desirable that the element be comprised of subcomponents, which are linked  
6 together to show thereby the relationships of the various cardiovascular variables. Also,  
7 it is desirable that system and method be capable of analyzing time based, real-time, and  
8 historical data and that it be able to graphically show the relationships between various  
9 data.

10 Research studies have indicated that the human mind is better able to analyze and  
11 use complex data when it is presented in a graphic, real world type representation, rather  
12 than when it is presented in textual or numeric formats. Research in thinking,  
13 imagination and learning has shown that visualization plays an intuitive and essential role  
14 in assisting a user associate, correlate, manipulate and use information. The more  
15 complex the relationship between information, the more critically important is the  
16 communication, including audio and visualization of the data. Modern human factors  
17 theory suggests that effective data representation requires the presentation of information  
18 in a manner that is consistent with the perceptual, cognitive, and response-based mental  
19 representations of the user. For example, the application of perceptual grouping (using  
20 color, similarity, connectedness, motion, sound etc.) can facilitate the presentation of  
21 information that should be grouped together. Conversely, a failure to use perceptual  
22 principles in the appropriate ways can lead to erroneous analysis of information.

The manner in which information is presented also affects the speed and accuracy of higher-level cognitive operations. For example, research on the “symbolic distance effect” suggests that there is a relationship between the nature of the cognitive decisions (for example, is the data increasing or decreasing in magnitude?) and the way the information is presented (for example, do the critical indices become larger or smaller, or does the sound volume or pitch rise or fall?). Additionally, “population stereotypes” suggest that there are ways to present information that are compatible with well-learned interactions with other systems (for example, an upwards movement indicates an increasing value, while a downwards movement indicates a decreasing value).

Where there is compatibility between the information presented to the user and the cognitive representations presented to the user, performance is often more rapid, accurate, and consistent. Therefore, it is desirable that information be presented to the user in a manner that improves the user's ability to process the information and minimizes any mental transformations that must be applied to the data.

Therefore, it is the general object of this invention to provide a method and systems for presenting a three-dimensional visual and/or possibly an audio display technique that assists a doctor in the monitoring of a patient's cardiovascular function.

It is a further object of this invention to provide a method and system that assists in the monitoring of a patient's cardiovascular system through the use of a three-dimensional graphic element.

It is another object of this invention to provide a method and system that assists in the management of anesthesia care of patients, by presenting a display, which quickly shows the relationships of various cardiovascular variables.

1           It is a still further object of this invention to provide a method and system that  
2       assists in the determination of the “health” of a dynamic cardiovascular system, by  
3       providing visual information related to the nature or quality of the soundness, wholeness,  
4       or well-being of the system as related to historical or normative values.

5           Another object of this invention is to provide a method and system that assists in  
6       the determination of the functioning of a cardiovascular system by measuring the  
7       interaction among a set of “vital-signs” normally associated with the health of the  
8       cardiovascular system.

9           A still further object of this invention is to provide a method and system, which  
10      provides the gathering and use of sensor measured data, as well as the formatting and  
11      normalization of the data in a format suitable to the processing methodology.

12          A further object of this invention is to provide a method and system, which  
13      organizes a cardiovascular system’s data into relevant data sets or critical functions as  
14      appropriate.

15          Another object of this invention is to provide a method and system, which  
16      provides a three-dimensional health-space for mapping the cardiovascular system data.

17          It is another object of this invention to provide a method and system, which  
18      provides three-dimensional objects that are symbols of the critical functioning of the  
19      cardiovascular system being monitored.

20          It is an object of this invention to provide a method and system that shows the  
21      relationships between several critical functions that a user wishes to monitor.

It is a further object of this invention to provide a method and system that permits an integrated and overall holistic understanding of the cardiovascular process being monitored.

A further object of this invention is to provide a method and system where three-dimensional objects are built from three-dimensional object primitives, including: cubes, spheres, pyramids, n-polygon prisms, cylinders, slabs.

A still further object of this invention is to provide a method and system, wherein three-dimensional objects are placed within health-space based on the coordinates of their geometric centers, edges, vertices, or other definite geometric variables.

It is a further object of this invention to provide a method and system, which has three-dimensional objects that have three spatial dimensions, as well as geometric, aesthetic and aural attributes, to permit the mapping of multiple data functions.

It is another object of this invention to provide a method and system, which shows increases and decreases in data values using changes in location, size, form, texture, opacity, color, sound and the relationships thereof in their context.

It is a still further object of this invention to provide a method and system, wherein the particular three-dimensional configuration of three-dimensional objects can be associated with a particular time and health state.

A still further object of this invention is to provide a method and system that permits the simultaneous display of the history of data objects.

Another object of this invention is to provide a method and system that provides for the selection of various user selectable viewports.

It is a further object of this invention to provide a method and system that provides both a global and a local three-dimensional coordinate space.

It is another object of this invention to provide a method and system that permits the use of time as one of the coordinates.

It is a still further object of this invention to provide a method and system that provides a reference framework of normative values for direct comparison with the measured data.

It is a further object of this invention to provide a method and system where normative values are based on the average historical behavior of a wide population of healthy systems similar to the system whose health is being monitored.

A further object of this invention is to provide a method and system that provides viewpoints that can be selected to be perspective views, immersive Virtual Reality views, or any orthographic views.

Another object of this invention is to provide a method and system that permits the display of a layout of multiple time-space viewpoints.

A still further object of this invention is to provide a method and system that provides for zooming in and out of a time and/or space coordinate.

It is another object of this invention to provide a method and system that permits temporal and three-dimensional modeling of data “health” states based on either pre-recorded data or real-time data, that is as the data is obtained.

Another object of this invention is to provide a method and system that presents the data in familiar shapes, colors, and locations to enhance the usability of the data.



1 A still further object of the invention is to provide a method and system that uses  
2 animation, and sound to enhance the usefulness of the data to the user.

3 It is an object of this invention to provide a method and system for the  
4 measurement, computation, display and user interaction, of complex data sets that can be  
5 communicated and processed at various locations physically remote from each other,  
6 over a communication network, as necessary for the efficient utilization of the data and  
7 which can be dynamically changed or relocated as necessary.

8 It is a still further object of this invention to provide a method and system for the  
9 display of data that provides both a standard and a customized interface mode, thereby  
10 providing user and application flexibility.

11 These and other objects of this invention are achieved by the method and system  
12 herein described and are readily apparent to those of ordinary skill in the art upon careful  
13 review of the following drawings, detailed description and claims.

#### 14 **Brief Description of the Drawings**

15 In order to show the manner that the above recited and other advantages and  
16 objects of the invention are obtained, a more particular description of the preferred  
17 embodiment of the invention, which is illustrated in the appended drawings, is described  
18 as follows. The reader should understand that the drawings depict only a preferred  
19 embodiment of the invention, and are not to be considered as limiting in scope. A brief  
20 description of the drawings is as follows:

21 Figure 1a is a top-level representative diagram showing the data processing paths  
22 of the preferred embodiment of this invention.

1           Figure 1b is a top-level block diagram of the data processing flow of the preferred  
2           embodiment of this invention.

3           Figure 1c is a top-level block diagram of one preferred processing path of this  
4           invention.

5           Figure 1d is a top-level block diagram of a second preferred processing path of  
6           this invention.

7           Figures 2a, 2b, 2c, and 2d are representative 3-D objects representing critical  
8           functions.

9           Figure 3 is a representation of data objects in H-space.

10          Figures 4a and 4b are representative views of changes in data objects in time.

11          Figures 5a, 5b, 5c, 5d, 5e, 5f, 5g and 5h are representative views of properties of  
12          data objects provided in the preferred embodiment of this invention.

13          Figure 6 shows a 3-D configuration of the objects in H-space in the preferred  
14          embodiment of the invention.

15          Figure 7 shows H-space with a time coordinate along with local-space  
16          coordinates.

17          Figures 8a and 8b show the global level coordinate system of the preferred  
18          embodiment of this invention.

19          Figures 9a and 9b show various viewpoints of the data within H-space in the  
20          preferred embodiment of this invention.

21          Figure 10 shows the transformation of an object in space in context, with a  
22          reference framework, in the preferred embodiment of this invention.

23          Figure 11a shows the zooming out function in the invention.

1           Figure 11b shows the zooming in function in the invention.

2           Figures 12a and 12b show a 3-D referential framework of normative values.

3           Figure 13 shows the interface modes of the preferred embodiment of this  
4 invention.

5           Figure 14 is a hardware system flow diagram showing various hardware  
6 components of the preferred embodiments of the invention.

7           Figure 15 is a software flow chart showing the logic steps of a preferred  
8 embodiment of the invention.

9           Figure 16 is a software block diagram showing the logic steps of the image  
10 computation and rendering process of a preferred embodiment of the invention.

11           Figure 17 is a photograph of the 3-dimensional display of a preferred embodiment  
12 of the invention.

13           Figure 18 is a close-up front view of the cardiac object and the associated  
14 reference grid of a preferred embodiment of the invention.

15           Figure 19 is a view of the front view portion of the display of a preferred  
16 embodiment of the present invention showing the cardiac object in the foreground and  
17 the respiratory object in the background.

18           Figure 20 is a view of the top view portion of the display of a preferred  
19 embodiment of the present invention showing the cardiac object toward the bottom of the  
20 view and the respiratory object toward the top of the view.

21           Figure 21 is a view of the side view portion of the display of a preferred  
22 embodiment of the present invention showing the cardiac object to the left and the  
23 respiratory object to the right.

Figure 22 is a view of the 3-D perspective view portion of the display of a preferred embodiment of the invention showing the cardiac object in the left foreground and the respiratory object in the right background.

Figure 23a is a view of the preferred graphic element of this invention in a normal cardiovascular system.

Figure 23b is a view of the preferred graphic element of this invention in a cardiovascular system showing anaphylaxis.

Figure 23c is a view of the preferred graphic element of this invention in a cardiovascular system showing hypovolemia.

Figure 23d is a view of the preferred graphic element of this invention in a cardiovascular system showing bradycardia.

Figure 23e is a view of the preferred graphic element of this invention in a cardiovascular system showing ischemia.

Figure 23f is a view of the preferred graphic element of this invention in a cardiovascular system showing pulmonary embolism.

Figure 24 is a view of the preferred reference grid of this embodiment of the invention.

Figure 25 is a view of the preferred reference grid showing object placement in this preferred embodiment of the invention.

Figure 26 is a view of the preferred reference grid showing the functional object relationships in this preferred embodiment of the invention.

Figure 27 is a representative three-dimensional object used in the present preferred embodiment of the invention.

1           Figure 28 is a representative view of the normalization of the present preferred  
2           embodiment of the invention.

3           Figure 29 is an integrated view showing numeric information in the present  
4           preferred embodiment of the invention.

5           Figure 30 is a view showing the addition of slopes to show the restriction of blood  
6           vessels.

7           Reference will now be made in detail to the present preferred embodiment of the  
8           invention, examples of which are illustrated in the accompanying drawings.

### 9                           **Detailed Description of the Invention**

10          This invention is a method, system and apparatus for the visual display of  
11          complex sets of dynamic data. In particular, this invention provides the means for  
12          efficiently analyzing, comparing and contrasting data, originating from either natural or  
13          artificial systems. In its most common use the preferred embodiment of this invention is  
14          used to produce an improved cardiovascular display of a human or animal patient. This  
15          invention provides n-dimensional visual representations of data through innovative use  
16          of orthogonal views, form, space, frameworks, color, shading, texture, transparency,  
17          sound and visual positioning of the data. The preferred system of this invention includes  
18          one or a plurality of networked computer processing and display systems, which provide  
19          real-time as well as historical data, and which processes and formats the data into an  
20          audio-visual format with a visual combination of objects and models with which the user  
21          can interact to enhance the usefulness of the processed data. While this invention is  
22          applicable to a wide variety of data analysis applications, one important application is the  
23          analysis of health data. For this reason, the example of a medical application for this

1 invention is used throughout this description. The use of this example is not intended to  
2 limit the scope of this invention to medical data analysis applications only, rather it is  
3 provided to give a context to the wide range of potential application for this invention.

4 This invention requires its own lexicon. For the purposes of this patent  
5 description and claims, the inventors intend that the following terms be understood to  
6 have the following definitions.

7 An “artificial system” is an entity, process, combination of human designed parts,  
8 and/or environment that is created, designed or constructed by human intention.

9 Examples of artificial systems include manmade real or virtual processes, computer  
10 systems, electrical power systems, utility and construction systems, chemical processes  
11 and designed combinations, economic processes (including, financial transactions),  
12 agricultural processes, machines, and human designed organic entities.

13 A “natural system” is a functioning entity whose origin, processes and structures  
14 were not manmade or artificially created. Examples of natural systems are living  
15 organisms, ecological systems and various Earth environments.

16 The “health” of a system is the state of being of the system as defined by its  
17 freedom from disease, ailment, failure or inefficiency. A diseased or ill state is a  
18 detrimental departure from normal functional conditions, as defined by the nature or  
19 specifications of the particular system (using historical and normative statistical values).  
20 The health of a functioning system refers to the soundness, wholeness, efficiency or well  
21 being of the entity. Moreover, the health of a system is determined by its functioning.

22 “Functions” are behaviors or operations that an entity performs. Functional  
23 fitness is measures by the interaction among a set of “vital-signs” normally taken or

measured using methods well known in the art, from a system to establish the system's health state, typically at regular or defined time intervals.

"Health-space" or "H-space" is the data representation environment that is used to map the data in three or more dimensions.

"H-state" is a particular 3-D configuration or composition that the various 3-D objects take in H-space at a particular time. In other words, H-state is a 3-D snapshot of the system's health at one point of time.

"Life-space" or "L-space" provides the present and past health states of a system in a historical and comparative view of the evolution of the system in time. This 3-D representation environment constitutes the historical or Life-space of a dynamic system. L-space allows for both continuous and categorical displays of temporal dependent complex data. In other words, L-space represents the health history or trajectory of the system in time.

"Real-Time Representation" is the display of a representation of the data within a fraction of a second from the time when the event of the measured data occurred in the dynamic system.

"Real-Time User Interface" is the seemingly instantaneous response in the representation due to user interactivity (such as rotation and zooming).

A "variable" is a time dependent information unit (one unit per time increment) related to sensing a given and constant feature of the dynamic system.

"Vital signs" are key indicators that measure the system's critical functions or physiology.

1 In the preferred embodiments of this invention, data is gathered using methods or  
2 processes well known in the art or as appropriate and necessary. For example, in general,  
3 physiologic data, such as heart rate, respiration rate and volume, blood pressure, and the  
4 like, is collected using the various sensors that measure the functions of the natural  
5 system. Sensor-measured data is electronically transferred and translated into a digital  
6 data format to permit use by the invention. This invention uses the received measured  
7 data to deliver real-time and/or historical representations of the data and/or recorded data  
8 for later replay. Moreover, this invention permits the monitoring of the health of a  
9 dynamic system in a distributed environment. By distributed environment, it is meant  
10 that a user or users interacting with the monitoring system may be in separate locations  
11 from the location of the dynamic system being monitored. In its most basic elements, the  
12 monitoring system of this invention has three major logical components: (1) the sensors  
13 that measure the data of the system; (2) the networked computational information  
14 systems that computes the representation and that exchanges data with the sensors and  
15 the user interface; and (3) the interactive user interface that displays the desired  
16 representation and that interactively accepts the users' inputs. The components and  
17 devices that perform the three major functions of this invention may be multiple, may be  
18 in the same or different physical locations, and/or may be assigned to a specific process  
19 or shared by multiple processes.

20 Figure 1a is a top-level representative diagram showing the data processing paths  
21 of the preferred embodiment of this invention operating on a natural system. The natural  
22 system 101a is shown as a dynamic entity whose origin, processes and structures  
23 (although not necessarily its maintenance) were not manmade or artificially created.









1 processor and process 117 is one or more digital computer devices, each having a  
2 processor, memory, display, input and output devices and a network connection. The  
3 data recorder 114 provides the recorded data to a speed controller 115, which permits the  
4 user to speed-up or slow-down the replay of recorded information. Scalar manipulations  
5 of the time (speed) in the context of the 3-D modeling of the dynamic recorded digital  
6 data allows for new and improved methods or reviewing the health of the system 110a,b.  
7 A customize / standardize function 116 is provided to permit the data modeling to be  
8 constructed and viewed in a wide variety of ways according to the user's needs or  
9 intentions. Customization 116 includes the ability to modify spatial scale (such  
10 modification including, but not limited to translating, rotating, and zooming), attributes,  
11 other structural and symbolic parameters, and viewports in addition to speed. The range  
12 of customization form monitoring artificial systems' 110a,b states is wide and not as  
13 standardized as that used in the preferred embodiment of the natural system 101a,b  
14 monitoring. In this Free Customization, the symbolic system and display method is fully  
15 adaptable to the user's needs and interests. Although this invention has a default  
16 visualization space, its rules, parameters, structure, time intervals, and overall design are  
17 completely customizable. This interface mode customize/standardize function 116 also  
18 allows the user to select what information to view and how to display the data. This  
19 interface mode customization 116 may, in some preferred embodiments, produce  
20 personalized displays that although they may be incomprehensible to other users,  
21 facilitate highly individual or competitive pursuits not limited to standardized  
22 interpretations, and therefore permit a user to look at data in a new manner. Such  
23 applications as analysis of stock market data or corporation health monitoring may be

well suited to the flexibility of this interface mode. The data modeling processor and process 117 uses the prescribed design parameters, the customize/standardized function 116 and the received real-time data 113 to build a three-dimensional (3-D) model in time and to deliver it to a display. The display of the data modeling processor and process 117 presents a representation 118 of 3-D objects in 3-D space in time to provide the visual representation of the health of the artificial system 110a in time, or as in the described instances of the simulated 110b system.

Figure 1c is a top-level block diagram of one preferred processing path of this invention. Sensors 119 collect the desired signals and transfer them as electrical impulses to the appropriate data creation apparatus 120. The data creation apparatus 120 converts the received electrical impulses into digital data. A data formatter 121 receives the digital data from the data creation apparatus 120 to provide appropriate formatted data for the data recorder 122. The data recorder 122 provides digital storage of data for processing and display. A data processor 123 receives the output from the data recorder 122. The data processor 123 includes a data organizer 124 for formatting the received data for further processing. The data modeler 125 receives the data from the data organizer and prepares the models for representing to the user. The computed models are received by the data representer 126, which formats the models for presentation on a computer display device. Receiving the formatted data from the data processor 123 are a number of data communication devices 127, 130. These devices 127, 130 include a central processing unit, which controls the image provided to one or more local displays 128, 131. The local displays may be interfaced with a custom interface module 129

1 which provides user control of such attributes as speed 131, object attributes 132,  
2 viewports 133, zoom 134 and other like user controls 135.

3 Figure 1d is a top-level block diagram of a second preferred processing path of  
4 this invention. In this embodiment of the invention a plurality of entities 136a,b,c are  
5 attached to sensors 137a,b,c which communicate sensor data to a data collection  
6 mechanism 138, which receives and organizes the sensed data. The data collection  
7 mechanism 138 is connected 139 to the data normalize and formatting process 140. The  
8 data normalize and formatting process 140 passes the normalized and formatted data 141  
9 to the distributed processors 142. Typically and preferably the processing 142 is  
10 distributed over the Internet, although alternative communication networks may be  
11 substituted without departing from the concept of this invention. Each processing unit  
12 142 is connected to any of the display devices 143a,b,c and receives command control  
13 from a user from a number of interface units 144a,b,c, each of which may also be  
14 connected directly to a display devices 143a,b,c. The interface units 144a,b,c receive  
15 commands 145 from the user that provide speed, zoom and other visual attributes  
16 controls to the displays 143a,b,c.

17 Figures 2a, 2b, 2c, and 2d are representative 3-D objects representing critical  
18 functions. Each 3-D object is provided as a symbol for a critical function of the entity  
19 whose health is being monitored. The symbol is created by selecting the interdependent  
20 variables that measure a particular physiologic function and expressing the variable in  
21 spatial (x,y,z) and other dimensions. Each 3-D object is built from 3-D object primitives  
22 (i.e., a cube, a sphere, a pyramid, a n-polygon prism, a cylinder, a slab, etc.). More  
23 specifically, the spatial dimensions (extensions X, Y and Z) are modeled after the most

important physiologic variables based on (1) data interdependency relationships, (2) rate, type and magnitude of change in data flow, (3) geometric nature and perceptual potential of the 3-D object, for example a pyramid versus a cylinder, (4) potential of the object's volume to be a data-variable itself by modeling appropriate data into x, y and z dimensions (e.g., in one preferred application of the invention, cardiac output is the result of heart rate (x and y dimensions) and stroke volume (z)), (5) orthographic viewing potential (see viewport) and (6) the relationship with the normal values framework.

The first representative object 201, shown in figure 2a, is an engine process. The object 201 representing this process is provided on a standard x-y-z coordinate axis 202. The correlation between temperature, shown in the x1-dimension 204, engine RPM, shown in the y1-dimension 205 and exhaust gas volume, shown in the z1-dimension 203 is shown by changes in the overall sizes and proportion of the object 201. In the shown example object 201 the engine gas volume 203 is large, when RPM 205 is low and the engine temperature 204 is in the middle range. This combination of values, even without specific identified values suggests an engine's starting point.

The second representative object 206, shown in figure 2b, is an object representing cardiac function using stroke volume, in the y2-dimension 209, and the heart rate per second, shown as the x2, z2 dimensions. The total cardiac volume is shown as the total spherical volume 208.

The third representative object 211, shown in figure 2c, represents the interaction between the number of contracts, shown in the y3-dimension 212, the average revenue per contract, shown in the z3-dimension 214, and the average time per contract, shown in





1           Figures 4a and 4b are representative views of changes in data objects in time. In  
2 figure 4a, the x-coordinate 400 is used to measure the temporal dimension of an objects  
3 402 trajectory. The y-z plane 401a determines the location of an object's geometric  
4 center within H-space. Increases or decreases in data values associated with the  
5 coordinates of the object's geometric center that make that object's location change in  
6 time as shown in path line 401b. In this view, the object 402 is presented in four different  
7 time intervals 403, 404, 405, 406, thereby creating a historical trajectory. The time  
8 intervals at which the object 402 is shown are provided 407. In figure 4b, increases in  
9 size and proportion are presented, 408, 409, 410, 411 providing an example of changes in  
10 values. The monitoring of these changes in time assists the user establish and evaluate  
11 comparative relationships within and across H-states.

12           Figures 5a, 5b, 5c, 5d, 5e, 5f, 5g and 5h are representative views of properties of  
13 data objects provided in the preferred embodiment of this invention. In addition to the  
14 three x-y-z spatial dimensions used for value correlation and analysis, 3-D objects may  
15 present data value states by using other geometric, aesthetic, and aural attributes that  
16 provide for the mapping of more physiologic data. These figures show some of the  
17 representative other geometric, aesthetic, and aural attributes supported for data  
18 presentation in this invention. Figure 5a shows changes in apparent volumetric density.  
19 A solid object 501 is shown in relation to a void object 502 and an intermediate state 503  
20 object. Figure 5b shows changes in apparent 3-D enclosure. An open object 504, a  
21 closed object 505, and an intermediate state 506 is shown. Figure 5c shows the apparent  
22 degree of formal deformation. A normal object 507, a distorted object 508, a transformed  
23 object 509, and a destroyed object 510 are shown in comparison. Figure 5d shows



cardiac system by varying the x,y,z coordinates of the object. The object 604 represents a human brain function, also with the x,y,z dimensions changing based on the parameter-based dimensional correlation. In this way the user can easily view the relative relationships between the three physiological objects 602, 603, 604. Within H-space 601, the temporal coordinate (i.e., periodic time interval for data capturing that defines how H-space is plotted in Live-space – see figure 7) is a spatial dimension on which data is mapped. The x-dimension of 605 of the H-space 601 can be mapped to another independent variable such as heart rate period, blood pressure or the like. The location of an object in the y-dimension 606 of H-space 601 can be mapped to additional variables that are desired to be monitored such as SaO<sub>2</sub> content, CaO<sub>2</sub> content, or temperature in the blood. The location of an object in the z-dimension 607 of the H-space 601 can also be mapped to additional variables that the user desires to monitor. A hypothetical object 608 shows that the three coordinates are contextual to a particular object 608 and need not be the same for all objects, except in the object's 608 extension measuring properties. Fixed x- and z-dimension values 609a and 609b are shown as constant. The y-value 610 of this object 608 changes to fluctuating values or data type that results in the height of the object 608 increasing or decreasing. This view shows another object 611 showing the relationship between the three dimensions. Constant x- and y-values 612a and 612b are shown. The z-value 613 of this object 611 changes to fluctuating values or data types that result in the width of the object 611 increasing or decreasing. An overlapping view 614 of an object 615 that has extended past the H-space limitation. A limit of H-space 616 with a spherical object 617 located inside H-space 616 shown with the degree of extension shown in shaded circles.



forwards and backwards from the intersecting x-axis. This dimension 804 can be mapped to a data variable within a particular 3D object in space. Now for figure 8b a prismatic object 800 represents a critical function, whose evolution is being monitored in L-space, of a given dynamic system. The front view 805 shows the different H-states of the prism/function 800 using a time T to T-n historical trend. The level of intersection and separation between the front views of the prism indicate abnormal health states of the critical function the object 800 represents. No separation or intersection shows normal function conditions. The trajectory in the y-dimension of the prism (i.e., H-states of the critical function) are mapped to a variable that cause their relative position to change in the + and -y dimension. The current state 806 of the prism is shown in this front view 805. A top view of 809 of the three-dimensional L-space is shown, showing the evolution of the prism 800 backward in time and showing a T to T-N historical trend. The level of intersection and separation indicate abnormal health states of the particular critical function the prism represents. No separation or intersection shows normal conditions. The trajectory in the z-dimension of the object is mapped to a variable that causes their position to change in the + and -z dimension. This top view shows both the z and y trajectories in one comprehensive view. The perspective view 808 of L-space gives a comprehensive view of the interaction of the prisms (the H-states of the function) and their movement in all dimensions. The side view 807 of L-space shows the prisms and their positions in L-space giving a simultaneous view of z and y trajectories.

Figures 9a and 9b shows various viewpoints in which the data may be visualized in the preferred embodiment of this invention. This figure shows representations of a data object (a prism) and is provided to show that there are two basic types of viewports:



1 make the necessary corrections to bring the object back to the ideal center of the  
2 framework. A perspectival view 1013 of the framework is also shown along with several  
3 cardiac objects. The top view 1014 of the framework is shown with several spherical  
4 objects (representing cardiac states). This figure demonstrates the variety of viewports  
5 provided to the user by this invention, which provides enhanced flexibility of analysis of  
6 the displayed data.

7 Figure 11a shows the zooming out function in the invention. This invention  
8 provides a variety of data display functions. This figure shows the way views may be  
9 zoomed in and out providing the relative expansion or compression of the time  
10 coordinate. Zooming out 1101 permits the user to look at the evolution of the system's  
11 health as it implies the relative diminution of H-states and the expansion of L-space. This  
12 view 1101 shows a zoomed out view of the front view showing a historical view of many  
13 health states. A side view 1102 zoomed out view is provided to show the historical trend  
14 stacking up behind the current view. A 3-D perspectival, zoomed out view 1103 showing  
15 the interaction of H-states over a significant amount of time is provided. A zoomed out  
16 top view 1104 shows the interaction of H-states over a large amount of time.

17 Figure 11b shows the zooming in function of the invention. The zooming in front  
18 view 1105 is shown providing an example of how zooming in permits a user to focus in  
19 on one or a few H-states to closely study specific data to determine with precision to the  
20 forces acting on a particular H-state. A zoomed in side view 1106 is provided showing  
21 the details of specific variables and their interactions. A zoomed in 3-D perspective view  
22 1107 of a few objects is also shown. Also shown is a zoomed in top view 1108 showing  
23 the details of specific variables and their interaction.





1 creates a common interpretative ground upon which different users may arrive at similar  
2 conclusions when provided common or similar health conditions. This is provided  
3 because similar data flows will generate similar visualization patterns within a  
4 standardized symbolic system. This interface method is intended for social disciplines,  
5 such as medicine in which common and agreeable interpretations of the data are highly  
6 sought after to ensure appropriate and verifiable monitoring, diagnosis and treatment of  
7 health states. The customization permitted in this mode is minimal and is never  
8 threatening to render the monitoring device incomprehensible to other users.

9 The free or total customization interface mode 1302 provides a symbolic system  
10 and displaying method that is changeable according to the user's individual needs and  
11 interests. Although the invention comes with a default symbolic L-space and H-space, its  
12 rules, parameters, structure, time intervals, and overall design are customizable. This  
13 interface mode also permits the user to select what information the user wishes to view as  
14 well as how the user wishes to display it. This interface mode may produce personalized  
15 displays that are incomprehensible to other users, but provides flexibility that is highly  
16 desired in individual or competitive pursuits that do not require agreeable or verifiable  
17 interpretations. Examples of appropriate applications may include the stock market and  
18 corporate health data monitoring.

19 Figure 14 is a hardware system flow diagram showing various hardware  
20 components of the preferred embodiments of the invention in a "natural system" medical  
21 application. Initially a decision 1401 is made as to the option of using data monitored on  
22 a "real" system, that is a real patient, or data from the simulator, for anesthesiology  
23 training purposes. If the data is from a real patient, then the patient 1402 is provided with

1 patient sensors 1404, which are used to collect physiological data. Various types of  
2 sensors, including but not limited to non-invasive BP sensors, ECG leads, SaO<sub>2</sub> sensors  
3 and the like may be used. Digital sensors 1416 may also provide physiological data. An  
4 A/D converter 1405, is provided in the interface box, which receives the analog sensor  
5 signals and outputs digital data to a traditional patient monitor 1406. If the data is  
6 produced 1401 by the simulator 1403, a control box and mannequins are used. The  
7 control box controls the scenarios simulated and the setup values of each physiological  
8 variable. The mannequins generate the physiological data that simulates real patient data  
9 and doctors collect the data through different, but comparable sensors. The traditional  
10 patient monitor 1406 displays the physiological data from the interface box on the screen.  
11 Typically and preferably, this monitor 1406 is the monitor used generally in an ICU. A  
12 test 1407 is made to determine the option of where the computations and user interface  
13 are made, that is whether they are made on the network server 1408 or otherwise. If a  
14 network server 1408 is used, all or part of the data collection and computation may be  
15 performed on this computer server 1408. An option 1409 is provided for running a real  
16 time representation versus a representation delayed or replayed from events that  
17 previously occurred. For real time operation, a data buffer 1410 is provided to cache the  
18 data so that the representation is played in real time. For the replay of previous events, a  
19 data file 1411 provides the means for permanently storing the data so that visualization is  
20 replayed. The visualization software 1412 runs on a personal computer and can display  
21 on its monitor or on remote displays via the internet or other networking mechanism.  
22 Typically the physiological data measured on either a real patient or the simulator are fed  
23 to the personal computer from the traditional data monitor. A standard interface such as

1 RS232, the Internet, or via a server, which receives data from the monitor, may serve as  
2 the communication channel to the personal computer running the visualization software  
3 1412. This program 1412 is the heart of the invention. The program 1412 computes the  
4 representation and processes the user interface. An option 1413 is provided for  
5 computing and user interface on the local desktop personal computer or for distribution  
6 across the Internet or other network mechanism. If a local desktop personal computer is  
7 selected, the personal computer 1414 with an adequate display for computation of the  
8 visualization and user interface is provided. If a remote user interface 1415 is selected  
9 the display and user interface is communicated across the Internet.

10 Figure 15 is a software flow chart showing the logic steps of a preferred  
11 embodiment of the invention. The preferred embodiment of this invention begins by  
12 reading the startup file 1501, which contains the name of the window and the properties  
13 associated with the invention. The properties associated with the a window include  
14 formulas to set object properties, text that is to be rendered in the scene, the initial size of  
15 the window, the initial rotation in each window, zoom, lighting and patient data that  
16 describes the normal state of each variable. Internal data tables are next initialized 1502.  
17 For each new window encountered in the startup file a new window object is made and  
18 this window object is appended to the list of windows. The window object contains an  
19 uninitialized list of properties describing the state of the window, which is filled with data  
20 from the startup file. The event loop is entered 1503. This is a window system  
21 dependent infinite loop from which the program does not exit. After some initialization,  
22 the program waits for user input and then acts on this input. The program then takes  
23 control of the event loop for continuous rendering that is if there is no interactivity in the

program. Initialization 1504 of windows is next performed. This involves calls to the window system dependent functions (these are functions that are usually different on different computational platforms) that creates the windows and displays them on the computer screen. In the current preferred embodiment of the invention, OpenGL is required, although alternative embodiments using other 3D application programming interfaces, such as PEX or DirectX, could be substituted without departing from the concept of this invention. Also, in the preferred embodiment of this invention, a personal computer graphics card is preferred in the personal computer so as to permit smooth animation with multiple windows. Although the lack of such a card is not absolutely required for operation of this invention. New data is received 1509, typically from the data file 1506 or the data buffer 1507. This new data 1509 can come from any source that generates floating-point numbers. The preferred line of data is composed of columns of floating point numbers separated by space. At this point the current time is also stored so that the next line of data can be obtained at the next user defined time interval, which is typically set at about 1 second. Object properties are next computed 1510. This is performed by using formulas that are specified in the startup file to compute properties of objects. Data fields in the formulas are specified by writing the column number preceded by a dollar sign. For example, \$1 / 20.0 would divide the first field by 20.0. The specific properties in this application are: cardiac object dimensions, material properties, and position. Material properties can include the red, green, and blue components as they appear under ambient, diffuse, and specular light, as well as transparency. The cardiac object position includes the y and z positions as well as an x shift. If four or more lines of data have been acquired, the respiratory object properties are computed. A delay is



by computing 1516 to that when it is time to get the next line of data, the cardiac objects have moved 1517, 1518 such that the distance from the rightmost cardiac object to the position where the new cardiac object will appear is equal to the inter-cardiac-object distance. For example, if it takes 0.20 seconds to render the previous scene, the period of data acquisition is 1.0 seconds, and the x shift of the rightmost cardiac object is 0.1 units then the program will shift the scene left  $(0.20 / (1.0 + 0.20) * (1.0 - 0.1) = 0.15$ . The formula in the denominator is  $(1.0 + 0.20)$  instead of 0.8 because, if the scene has been shifted left such that, when new data is acquired, the shifting has stopped (because the position of the cardiac objects satisfies the criteria that the distance from the center of the rightmost cardiac object to the center point where the new cardiac object will be rendered = 1 unit) then the animation will no longer be smooth, that is, when new data is acquired the animation will appear to stop. Note, that the respiratory object is never entirely smoothly shifted because no data is available to render the object at the intermediate time steps.

Figure 16 is a software block diagram showing the logic steps of the image computation and rendering process of a preferred embodiment of the invention. This process begins with acquiring the window identification 1601 of the current rendering context. Next, the data structure is found 1602 corresponding to the current window identification. After which, the view is set 1603. A rotation matrix is set 1604. A projection matrix is set 1605. Lights are set 1606. The back buffer is cleared 1607. Object processing 1608 begins, and includes for each cardiac object, calling OpenGL to see material properties; shift left one inter-cardiac-object distance; push the modelview matrix, shift x,y, and z directions; call OpenGL utility toolkit to render the cardiac object;







1 the sphere 1806. Previous historical values for the sphere 1806 are also provided in  
2 1805, 1807.

3 Figure 19 is a view of the front view portion of the display of a preferred  
4 embodiment of the present invention showing the cardiac object in the foreground and  
5 the respiratory object in the background. This view 1900 provides a more quantitative  
6 image of the hemodynamic variables, stroke volume, blood pressure 1901 and heart rate.  
7 The "normal" reference lines are more apparent. In the preferred embodiment,  
8 respiration is shown by changes in the background color.

9 Figure 20 is a view of the top view portion of the display 2000 of a preferred  
10 embodiment of the present invention showing the cardiac object toward the bottom of the  
11 view and the respiratory object toward the top of the view. Inhaled gas 2002 and  
12 exhaled gas 2003. CO2 concentrations and oxygen saturation of the arterial blood 2001  
13 versus time are also shown.

14 Figure 21 is a view of the side view portion of the display of a preferred  
15 embodiment of the present invention showing the cardiac object to the left and the  
16 respiratory object to the right. Gas concentration in the lungs 2101, a calibrated scale for  
17 gas concentration 2103, blood pressure 2100, and oxygen saturation 2101 are shown.  
18 The end view, shown here in figure 21, is especially useful during treatment, where the  
19 goal is to bring the variables back to the center or normal state. Functional relationships  
20 can be added to this view to predict how treatment can be expected to bring the variables  
21 back to normal.

22 Figure 22 is a view of the 3-D perspective view portion of the display of a  
23 preferred embodiment of the present invention showing the cardiac object in the left





Figure 23e shows the preferred graphic element of this invention depicting a cardiovascular system exhibiting ischemia. This figure demonstrates the display of objects 2338, 2339 having values substantially less than desired or expected, by failing to fill the expected frame 2338a, 2339a. An object 2336 having a value much larger than desired or expected is shown by overfilling its frame 2336a. Objects 2334, 2335 having expected values is shown by filling their respective frames 2334a, 2335a. Two sloped regions 2340, 2341 are provided to show a change in value between two objects.

Figure 23f shows the preferred graphic element of this invention depicting a cardiovascular system exhibiting pulmonary embolism. This figure demonstrates the display of objects 2343, 2344, 2345, 2346, 2347 having values substantially less than desired or expected, by failing to fill the expected frame 2343a, 2344a, 2345a, 2346a, 2347a. An object 2342 having a value much larger than desired or expected is shown by overfilling its frame 2342a. Two sloped regions 2348, 2349 are provided to show a change in value between two objects.

Figure 24 shows the preferred reference grid of this embodiment of this invention. A reference grid 2400 is provided within which space is allocated for graphic objects 2401, 2402, 2403, 2404.

Figure 25 shows the preferred reference grid 2400 of this embodiment of this invention with the preferred object placement 2501, 2502, 2503, 2504 as well as a center line axis point 2500 indicated. Generally the center line axis 2500 is used to scale the object from a center point. Smaller objects, such as 2502, indicates lower values. While larger objects, such as 2503, indicates larger values.

Figure 26 shows the preferred reference frame 2400 of this embodiment of this invention with the preferred object relationships indicated. This figure provides the placement of objects identified with specific physical processes. For example, object 2601 is CVP, object 2602 is PAP, object 2603 is LAP, object 2604 is HR SV and object 2605 is MAP. In alternative applications of this invention, different physical processes can be assigned to the objects.

Figure 27 shows a representative three-dimensional object 2700 used in the present preferred embodiment of this invention. This preferred object 2700 is generally cylindrical in shape placed in a three-dimensional coordinate system 2701. Alternative object shapes are foreseeable and can be substituted without departing from the concept of this invention.

Figure 28 shows a representative view of the normalization of the present preferred embodiment of this invention. This figure shows the normalization of frames 2801a-e set within a reference grid 2800.

Figure 29 shows an integrated view presenting numeric information 2901 in the present preferred embodiment of this invention set to associate with particular objects 2902a-d within a reference frame 2900.

Figure 30 shows the addition of slope features 3004, 3005 to show the restriction of blood flow, designated in objects 3001, 3002, 3003, within a reference frame 3000.

It is to be understood that the above-described embodiments and examples are merely illustrative of numerous and varied other embodiments and applications which may constitute applications of the principles of the invention. Such other embodiments may be readily devised by those skilled in the art without departing from the spirit or

- 1 scope of this invention and it is our intent that they are deemed to be within the scope of
- 2 this invention.
- 3

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